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## NOTICE OF ALLOWANCE AND FEE(S) DUE

21186 7590 04/20/2004

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.  
P.O. BOX 2938  
MINNEAPOLIS, MN 55402

EXAMINER

VESPERMAN, WILLIAM C

ART UNIT

PAPER NUMBER

2813

DATE MAILED: 04/20/2004

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/042,924	01/09/2002	Leonard Forbes	303.684US2	5327

TITLE OF INVENTION: P-CHANNEL DYNAMIC FLASH MEMORY CELLS WITH ULTRATHIN TUNNEL OXIDES

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1330	\$300	\$1630	07/20/2004

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. **PROSECUTION ON THE MERITS IS CLOSED.** THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN **THREE MONTHS** FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. **THIS STATUTORY PERIOD CANNOT BE EXTENDED.** SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (OR AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WILL BE REGARDED AS ABANDONED.

### HOW TO REPLY TO THIS NOTICE:

#### I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status is changed, pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above and notify the United States Patent and Trademark Office of the change in status, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check the box below and enclose the PUBLICATION FEE and 1/2 the ISSUE FEE shown above.

☐ Applicant claims SMALL ENTITY status.  
See 37 CFR 1.27.

II. PART B - FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B - Fee(s) Transmittal should be completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

**IMPORTANT REMINDER:** Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

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Complete and send this form, together with applicable fee(s), to: **Mail**

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21186 7590 04/20/2004

**SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.  
P.O. BOX 2938  
MINNEAPOLIS, MN 55402**

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

## Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO, on the date indicated below.

(Depositor's name)
(Signature)
(Date)

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nonprovisional	NO	\$1330	\$300	\$1630	07/20/2004

EXAMINER	ART UNIT	CLASS-SUBCLASS
VESPERMAN, WILLIAM C	2813	438-264000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.  
☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1	_____
2	_____
3	_____

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Please check the appropriate assignee category or categories (will not be printed on the patent); ☐ individual ☐ corporation or other private group entity ☐ government

4a. The following fee(s) are enclosed:

- ☐ Issue Fee  
☐ Publication Fee  
☐ Advance Order - # of Copies \_\_\_\_\_

4b. Payment of Fee(s):

- ☐ A check in the amount of the fee(s) is enclosed.  
☐ Payment by credit card. Form PTO-2038 is attached.  
☐ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number \_\_\_\_\_ (enclose an extra copy of this form).

Director for Patents is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

(Authorized Signature)

(Date)

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Alexandria, Virginia 22313-1450.

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SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A. P.O. BOX 2938 MINNEAPOLIS, MN 55402				
			EXAMINER VESPERMAN, WILLIAM C	
			ART UNIT 2813	PAPER NUMBER

DATE MAILED: 04/20/2004

## Determination of Patent Term Adjustment under 35 U.S.C. 154 (b) (application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 129 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 129 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) system (<http://pair.uspto.gov>).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (703) 305-1383. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at (703) 305-8283.

# Notice of Allowability

Application No.

10/042,924

Examiner

William C. Vesperman

Applicant(s)

FORBES, LEONARD

Art Unit

2813

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--

All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. **THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS.** This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.

1. ☒ This communication is responsive to 4/15/04.
2. ☒ The allowed claim(s) is/are 1-6 and 21-49.
3. ☒ The drawings filed on 08 March 2004 are accepted by the Examiner.
4. ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
  - a) ☐ All    b) ☐ Some\*    c) ☐ None    of the:
  1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).

\* Certified copies not received: \_\_\_\_\_.

Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application.

**THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.**

5. ☐ A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient.
6. ☐ CORRECTED DRAWINGS ( as "replacement sheets") must be submitted.
  - (a) ☐ including changes required by the Notice of Draftsperson's Patent Drawing Review ( PTO-948) attached
    - 1) ☐ hereto or 2) ☐ to Paper No./Mail Date \_\_\_\_\_.
  - (b) ☐ including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date \_\_\_\_\_.

Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).
7. ☐ DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

## Attachment(s)

1. ☒ Notice of References Cited (PTO-892)
2. ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
3. ☒ Information Disclosure Statements (PTO-1449 or PTO/SB/08),  
Paper No./Mail Date 9 / March 4, 2004
4. ☐ Examiner's Comment Regarding Requirement for Deposit  
of Biological Material
5. ☐ Notice of Informal Patent Application (PTO-152)
6. ☐ Interview Summary (PTO-413),  
Paper No./Mail Date \_\_\_\_\_.
7. ☐ Examiner's Amendment/Comment
8. ☒ Examiner's Statement of Reasons for Allowance
9. ☐ Other \_\_\_\_\_.

**DETAILED ACTION**

1. This action is in reply to applicant's amendment of 4/15/2004.

***Allowable Subject Matter***

2. Claims 1 - 6, 21 - 49 are allowed.
3. The following is an examiner's statement of reasons for allowance.

Hsu et al. (US 6,245,613) teaches (Figure 17) a method of forming a field effect transistor which includes: forming an oxide layer of 10 to 40 Angstroms on and contacting a substrate; forming a channel region separating the source and drain region in the substrate; forming a floating gate on and contacting the oxide layer; forming a dielectric layer on the floating gate; and forming a control gate on dielectric layer.

Hsu et al. does not teach forming an enhancement mode p-channel memory cell. In addition, Hsu et al. does not teach an oxide layer which contacts the substrate, the source, the drain and the channel regions; and wherein the p-channel memory cell is adapted to erase using a potential of magnitude of about 3 volts or less applied to the floating gate or that the enhancement mode p-channel memory cell has an operating voltage of less than 2.5 volts across the oxide layer.

Claims 1 – 6, 21 - 23

The prior art does not teach or suggest in combination with the other claimed limitations, a method of forming an enhancement mode p-channel memory cell which includes: forming an oxide layer of less than 40 Angstroms on and

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contacting a substrate in which the oxide layer contacts the source, drain and channel regions; and wherein the p-channel memory cell is adapted to erase using a potential of magnitude of about 3 volts or less applied to the floating gate or having an operating voltage of less than 2.5 volts across the oxide layer.

## Claims 24 - 29

The prior art does not teach or suggest in combination with the other claimed limitations, a method of forming an enhancement mode p-channel memory cell which includes: forming an oxide layer of less than 40 Angstroms on and contacting a substrate in which the oxide layer contacts the source, drain and channel regions; and wherein the p-channel memory cell is adapted to have a reliability of a number of cycles of performance of approximately 10 to the twelve to 10 to the fifteen cycles over a life time of the enhancement mode p-channel memory cell; and having an operating voltage of less than 2.5 volts across the oxide layer.

## Claims 30 - 32

The prior art does not teach or suggest in combination with the other claimed limitations, a method of forming an enhancement mode p-channel memory cell which includes: forming an oxide layer of less than 30 Angstroms on and contacting a substrate in which the oxide layer contacts the source, drain and channel regions; and wherein the p-channel memory cell is adapted to erase using a potential of magnitude of about 3 volts or less applied to the floating gate.

## Claims 33 - 35

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The prior art does not teach or suggest in combination with the other claimed limitations, a method of forming an enhancement mode p-channel memory cell which includes: forming a plurality of memory cells, wherein at least one p-memory is formed and wherein one p-memory cell includes: forming an oxide layer of less than 23 Angstroms on and contacting a substrate in which the oxide layer contacts the source, drain and channel regions; and having an operating voltage of approximately 1.0 volt applied to the control gate; and forming at least one sense amplifier that is coupled to the plurality of memory cells.

## Claims 36 – 40

The prior art does not teach or suggest in combination with the other claimed limitations, a method of forming an enhancement mode transistor which includes: forming an oxide layer of less than 40 Angstroms on and contacting a substrate in which the oxide layer contacts the source, drain and channel regions; forming a floating gate on and contacting the oxide layer, wherein forming the floating gate includes forming a floating gate which is adapted to hold a charge on the order of 10 to the seventeen Coulombs for longer than 1.0 hour at 20 degrees Celsius; forming a dielectric layer on the floating gate where the dielectric layer includes silicon dioxide; and forming a control gate on the dielectric layer.

## Claims 41 - 46

The prior art does not teach or suggest in combination with the other claimed limitations, a method of forming an enhancement mode p-channel memory cell which includes: forming a plurality of memory cells, wherein at least one p-

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memory is formed and wherein one p-memory cell includes: forming an oxide layer of less than 40 Angstroms on and contacting a substrate in which the oxide layer contacts the source, drain and channel regions; forming a floating gate on and contacting the oxide layer wherein the floating gate is adapted to hold a charge on the order of 10 to the seventeen Coulombs for longer than 1.0 hour at 20 degrees Celsius; and forming at least one sense amplifier that is coupled to the plurality of memory cells.

## Claims 47 - 49

The prior art does not teach or suggest in combination with the other claimed limitations, a method of forming an enhancement mode p-channel transistor which includes: forming an oxide layer of less than 23 Angstroms on and contacting a substrate in which the oxide layer contacts the source, drain and channel regions; and forming a floating gate on and contacting the oxide layer wherein the floating gate is adapted to hold a charge on the order of 10 to the seventeen Coulombs for longer than 1.0 second at 85 degrees Celsius.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."



***Conclusion***

4. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

Ying Shi, Xiewen Wang and T. P. Ma, Tunneling Leakage Current in Ultrathin Nitride/Oxide Stack Dielectrics (Published IEEE, 1998, pages 388 – 390) teaches using a ultra-thin nitride/oxide stack comprising of a SiN layer and a SiO<sub>2</sub> layer formed over the SiN layer in order to replace a conventional oxide insulating layer.

Yang et al. (US 6,515,328) teaches a semiconductor devices with reduced control gate dimensions.

Yang et al. (US 6,383,939 B1) teaches a method of etching a memory gate stack.

Chang et al. (US 2003/0001197 A1) teaches a method for forming a memory cell having a contoured floating gate.

Chuang et al. (US 5,869,370) teaches forming a thin tunneling oxide layer using CVD.

Chung (US 2003/0201491 A1) teaches a semiconductor device containing oxide/nitride/oxide insulating stack layers.

Chang (US 5,408,115) teaches a self aligned EEPROM device.

Wu (US 6,316,316) teaches a method of forming high density flash memories.

Lin et al. (US 6246089) teaches flash EEPROM devices.

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Any inquiry concerning this communication or earlier communications from the examiner should be directed to William C. Vesperman whose telephone number is 571-272-1701. The examiner can normally be reached on Mon. - Fri., 8:00 - 4:30.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Carl White, Jr. can be reached on 571-272-1702. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

*wcv*  
wcv

Art Unit 2813

April 17, 2004

*C. Chaudhari*  
Chandra Chaudhari  
Primary Examiner